



61 10-06-03

RCE/2890.  
PATENT \$

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Klein L. Johnson

Examiner: Mooney, Michael P.

Serial No.: 09/342,801

Group Art Unit: 2877

Filed: June 29, 1999

For: HERMETIC CHIP-SCALE PACKAGE FOR PHOTONIC DEVICE

Docket No.: 1139.1125101 (H16-25073 US)

**TRANSMITTAL SHEET**

**Mail Stop RCE**

Assistant Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

**CERTIFICATE UNDER 37 C.F.R. 1.10:** The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of: EV315608966US, in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on this 3rd day of October, 2003.

By

*Lynn Thompson*  
Lynn Thompson

We are transmitting herewith the attached:

☒ Request for Continued Examination (RCE)

☒ Preliminary Amendment

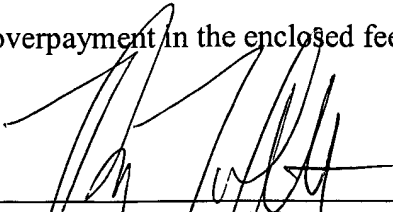
☒ The fee has been calculated below:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	64-	66=	2	x9=	\$	x18=	\$ 36
INDEPEN-DENT CLAIMS	7-	5=	2	x42=	\$	x84=	\$168
( ) FIRST MULTIPLE DEPENDENT CLAIM				+140=	\$	+280=	\$
TOTAL				\$		\$204.00	

☒ Fee Transmittal For FY 2003 authorizing payment in the amount of \$954.00 (\$770.00 RCE) (\$204.00 claims) to be charged to Honeywell Deposit Account No. 01-1125.

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- [X] Information Disclosure Statement, Form PTO 1449, 19 cited references
- [X] Please charge any deficiencies or credit any overpayment in the enclosed fees to Honeywell Deposit Account No. 01-1125.



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Brian N. Tufte, Reg. No. 38,638  
CROMPTON, SEAGER & TUFTE, LLC  
1221 Nicollet Avenue, Suite 800  
Minneapolis, MN 55403-2402  
Telephone: (612) 677-9050  
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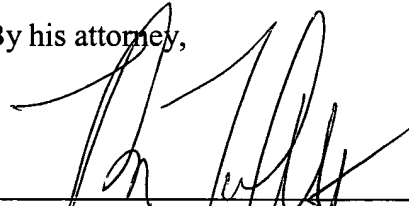
charge any additional fees required by this paper or credit any overpayment to Honeywell

Deposit Account No. 01-1125.

Respectfully submitted,

Klein L. Johnson

By his attorney,

A handwritten signature in black ink, appearing to be "Brian N. Tufte", written over a horizontal line.

Dated: October 3, 2003

Brian N. Tufte, Reg. No. 38,638  
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**PTO**  
**FEE TRANSMITTAL**  
**For FY 2003**  
Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT** \$978.00

**COMPLETE IF KNOWN**

Application No.	09/342,801
Filing Date	June 29, 1999
First Named Inventor	Klein L. Johnson
Group Art Unit	2877
Examiner Name	Michael P. Mooney
Attorney Docket No.	H16-25073 US (1139.1125101)

**METHOD OF PAYMENT (check one)**

1 ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

01-1125

Deposit Account Name

Honeywell International Inc.

☒ Charge any Additional Fee Required Under 37 CFR §§1.16 & 1.17

2 ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code	Large Entity Fee \$	Small Entity Fee Code	Small Entity Fee \$	Fee Description	Fee Paid
101	740	201	370	Utility Filing Fee	
106	330	206	165	Design Filing Fee	
107	510	207	255	Plant Filing Fee	
108	740	208	370	Reissue Filing Fee	
114	160	214	80	Provisional Filing Fee	
<b>SUBTOTAL (1)</b>					\$

**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
41	2	18.00	36
7	2	86.00	172
Multiple Dependent Claims	- 0 -	290.00	- 0 -

Large Entity Fee Code	Large Entity Fee \$	Small Entity Fee Code	Small Entity Fee \$	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim	
109	84	209	42	Reissue independent claims over original patent	
110	18	210	9	Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2)</b>					\$208.00

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code	Large Entity Fee \$	Small Entity Fee Code	Small Entity Fee \$	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,250	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	260	221	140	Request for oral hearing	
138	1,510	138	1,150	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	820	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))	
179	770	279	385	Request for Continued Examination (RCE)	70
169	900	169	900	Request for expedited examination of a design application	
195	300	195	300	Publication fee	

Other fee (specify)

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** \$770.00**SUBMITTED BY**

Name (Print/Type)

Andrew A. Abeyta

Registration No.  
(Attorney/Agent)

39,582

Complete (if applicable)

Telephone

602-313-3345

Signature

Date

Oct. 3, 2003

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